

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 07-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

5468-102US//P28047-A-USA

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/517753

INTERNATIONAL APPLICATION NO.
PCT/US03/019489

INTERNATIONAL FILING DATE
19 June 2003

PRIORITY DATE CLAIMED
19 June 2002

TITLE OF INVENTION

System and Method for the Creation and Management of Electronic Documents, Complete with Hierarchical and Linear Navigational Elements (as amended)

APPLICANT(S) FOR DO/EO/US

ANDREWS, Richard L.; DEFILIPPIS, John M.; MURPHY, Daniel S.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

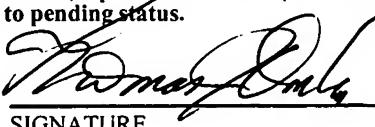
1. This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A power of attorney and/or change of address letter.
19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. Express Mail Label No. **EV 515 411 584 US**
23. Other items or information:

Acknowledgement Post Card; Express Mail Certificate

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
10/517753	PCT/US03/019489	5468-102US/P28047-A-US

24. The following fees are submitted:	CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :		
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT = \$750.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). 0		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	24 - 20 =	4
Independent claims	3 - 3 =	0
Multiple Dependent Claims (check if applicable).		<input type="checkbox"/> \$0.00
TOTAL OF ABOVE CALCULATIONS =		\$950.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$475.00
SUBTOTAL =		\$475.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + \$0.00		
TOTAL NATIONAL FEE =		\$475.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input checked="" type="checkbox"/> \$40.00
TOTAL FEES ENCLOSED =		\$515.00
		Amount to be: refunded \$
		charged \$
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.		
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.		
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-3040</u>		
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.		
SEND ALL CORRESPONDENCE TO:		
<u>Thomas J. Onka</u> <u>Synnestvedt Lechner & Woodbridge LLP</u> <u>P.O. Box 592</u> <u>Princeton, NJ 08542</u> <u>609-924-3773 phone</u> <u>609-924-1811 fax</u>		
 SIGNATURE		
<u>Thomas J. Onka</u> NAME		
<u>42,053</u> REGISTRATION NUMBER		
<u>12/13/04</u> DATE		

10/517753

DT05 Rec'd PCT/PTO 13 DEC 2004

EXPRESS MAIL NUMBER EV 515 411 584 US
FILE NUMBER 5468-102US/P28047-A-USA

"EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 515 411 584 US

Express Mail Corporate Account Number: X085783

Date of Deposit: 12/13/04

Title: SYSTEM AND METHOD FOR THE CREATION AND MANAGEMENT OF ELECTRONIC DOCUMENTS, COMPLETE WITH HIERARCHICAL AND LINEAR NAVIGATIONAL ELEMENTS (as amended)

Inventors: Richard L. Andrews, II
John M. DeFilippis
Daniel S. Murphy

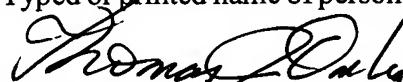
Type of Documents:

1. Transmittal Letter to the US Designated/Elected Office – 2 pages
2. USPTO Form 2038 – Credit Card Authorization – 1 page
3. Preliminary Amendment – 9 pages
4. Recordation of Assignment and Executed Assignment – 3 pages
5. USPTO Form 2038 – Credit Card Authorization – 1 page
6. Executed Declaration and Power of Attorney – 4 pages
7. International Application as Published – 38 pages
8. Express Mail Certificate – 1 page
9. Acknowledgement Post Card – 1 page

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Patent and Trademark Office, Mail Stop PCT; Box 1450, Alexandria, VA 22313-1450

Thomas J. Onka, Esq.

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)